

PART ONE: PERSONAL PROFILE

I am a holder of a PhD in Business Administration Finance Option, an MBA Finance degree and a Bachelor of Science Degree of Jomo Kenyatta University of Agriculture and Technology. I have an extensive experience in research and teaching at the University Level with over Ten (10) years Teaching and Research Supervision at both Postgraduate and Undergraduate level. I have published numerous academic papers and empirical work in Refereed Journals besides Supervising Numerous Postgraduate Dissertations. In addition, I have Served in a number of Departmental Committees to Review Academic Programmes in various Public and Private Universities in Kenya.

PART TWO: PERSONAL INFORMATION

Name : **Dr. Fredrick Olanga Wafula**
Nationality : **Kenyan**
Religion : **Christian**
Marital Status : **Married**
Phone Contact : **0714650428**
Email Address : **wafula@buc.ac.ke**

ACADEMIC QUALIFICATIONS

**College of Human Resource Development,
PhD. - Finance**

JKUAT June 2018

Thesis: *“Heterogeneity of Investors’ Behaviour and Corporate External Financing Decision by Listed Companies in Kenya”*

Seminar Paper: *“An Empirical Test of Capital Asset Pricing Model: Evidence from Nairobi Securities Exchange 2008-2013”*

Achievement:

- Academic: 3.7 GPA with grade A across all Finance courses, including Finance Seminar, Financial Markets and Intermediaries, Theory of Finance, Econometrics, Economic Analysis, Organization Dynamics and Corporate Management.
- Leadership: Elected Class President 2014–2015. Enhanced communication between graduate students and college.

**College of Human Resource Development,
Masters of Business Administration (MBA Finance)**

JKUAT June 2013

Dissertation: *‘Effect of financial deregulation on performance of banks listed at Nairobi Security Exchange’*

Achievement:

- Academics: 3.6 GPA with grade A in Managerial Economics, Mergers and Acquisition, Financial Regulations, Capital Markets, International Business Change Management, Organization Behaviour, Human Resources Management and Research Project.

Faculty of Science

JKUAT June 2007

Bachelor of Science Degree: *Second Class Upper Division*

Achievement:

- Academics: 3.5 GPA with above 3.7 GPA in all the core courses
- Leadership: Elected the president of Biochemistry Association 2005-2006,
- Organized tree planting at Kieni Forest Kiambu County Kenya to increase forest cover.
- Organized welfare donations and contributions to Children homes in Nairobi Kenya.

Kenya Certificate of Secondary School

Nakuru Day Secondary School 2001

Academics: Mean Grade A-(Minus)

Achievements:

The best KCSE student Nakuru Day Secondary School class of 2001

Kenya Certificate of Primary Education

Koyonzo Primary School 1997

Academics: Score 507/700

KEY SKILLS

1. Public Finance
2. Risk Management in Public Sector
3. Financial Management
4. Corporate Finance
5. Financial Risk Management
6. Investment and Portfolio Management
7. Financial Modeling and Forecasting
8. Derivatives and Risk Management

EMPLOYMENT RECORDS

Bomet University College

Lecturer – School of Business and Entrepreneurship

Bomet Kenya

Jan. 2021 – To Date

- Lecturing: - Financial Statement Analysis, Investment and Portfolio Management, Financial Management, International Finance, Mathematic for Project Managers I and II, Banking Theory and Practices, Theory of Finance, Corporate Finance, Financial Information Systems, Monetary Theory and Policy and Research methodology. Financial Mathematics I, Business Finance, Real Estate Finance.
- Dissertations Supervisor: - Enhanced creative thinking and research methods to graduate students.
- Chairman SBE Quality Assurance
- Program Leader Bachelor of Science in Financial Economics

JKUAT School of Business

Adjunct Lecturer –School of Business

Nairobi Kenya

2013 – Dec. 2020

- Lecturing: - Derivatives and Risk Management, Microfinance, Investment and Portfolio Management, Financial Management, Financial Risk Management, Insurance and Risk Management, Corporate Finance, Monetary Theory and Policy and Research methodology.
- Graduate dissertations Supervisor: - Enhanced creative thinking and research methods to graduate students.
- Examiner and defense panelist of graduate research dissertations and thesis helping in improving research quality and acumen.

KCA University

Lecturer School of Business and Public Management

Nairobi Kenya

2014 – Dec. 2020

- Lecturing:- Advanced Theory of Finance, Multinational Finance, Business Finance, International Finance, Development Finance , Financial Management, Risk Management Strategies in Public Sector, Insurance and Risk Management, and Monetary Theory and Policy
- Internal Examiner and Invigilator at School of Business
- Internal Postgraduate Dissertations Examiner at School of Business.

- Supervisor postgraduate dissertation: Enhanced creative thinking and research at postgraduate level.

Multimedia University

Nairobi Kenya

Adjunct Lecturer Faculty of Business and Economics

2014 – Dec. 2020

- Lecturing, Setting and Marking Examinations, for the following units:- Financial Management, Financial Risk Management, Financial Modeling and Forecasting and Public Sector Finance.
- Enhanced student understanding of risk-shifting products at the undergraduate level.
- Enhanced student understand on derivative products usage by corporate managers and investment professionals for risk management and investment purposes.
- Enhance practical skills in FRM by encouraging students to participate in security market operations at Nairobi Security Exchange.

University of Embu

Embu Kenya

Adjunct Lecturer Faculty of Business and Economics

2019 – Dec. 2020

- Lecturing, Setting and Marking Examinations, for the following units:- Issues in Finance and Investment and Public Sector Finance.
- Enhanced student understanding of risk-shifting products at the undergraduate level.
- Enhanced student understand on derivative products usage by corporate managers and investment professionals for risk management and investment purposes.
- Enhance practical skills in FRM by encouraging students to participate in security market operations at Nairobi Security Exchange.

Cooperative University of Kenya

Nairobi Kenya

Adjunct Lecturer Department of Accounting and Finance

2017 – Dec. 2020

- Lecturing, Setting and Marking Examinations, for the following units: - Financial Management, Financial Risk Management, Financial Modeling and Forecasting and Business Calculations.
- Enhanced student understanding of risk-shifting products at the undergraduate level.
- Enhanced student understand on derivative products usage by corporate managers and investment professionals for risk management and investment purposes.
- Enhance practical skills in FRM by encouraging students to participate in security market operations at Nairobi Security Exchange.

Kulal International Limited
Senior Key Accounts Executive

Nairobi Kenya
March 2010 -2018

- Managing Key accounts in private and public hospitals in Kenya.
- Recruited key distributors and retailers in the country with a portfolio of over Kshs. 60 million annually.
- Experienced a steady flow of deals, with extensive recurring interactions with new and existing clients in different parts of the country.
- A mentor and leader on a Kulal Ethical sales team, which has been for years the top performing team with monthly revenue of over Kshs. 10 million.
- Worked with management from various leading pharmaceutical wholesalers and retailer stores to create customer presentations and continuous medical education.

Zadchem Healthcare Limited
Sales Representative:

Nairobi Kenya
Sep. 2007 – 2010.

- Discovering, managing and winning major competitive accounts in private and public hospitals.
- Recruited distributors and retailers within the country to enhance product availability to different regions in the country.
- Experienced a steady flow of sales with extensive recurring interactions with new and existing clients in different parts of the country.
- Enhanced market and sales growth for the period 2009-2010 with an over 65% growth.

Kulal Sacco Society
Executive Chairman: Sacco Operations

Nairobi Kenya
2015 – 2018

- Digitalized Sacco operations by introducing Sacco management Software.
- Reduced over loaning members and enhance saving thereby increasing Sacco liquidity since 2015.
- Improved loan repayment and thereby reducing member default rate to less than 10% throughout my tenure.
- Introduced training and education forums for Sacco members, thus enhancing member participation and awareness of Sacco benefits.

PUBLICATIONS AND CONFERENCE PRESENTATION

1. **Wafula F.** & Mwangi A. (2021). Credit Management Practices and Asset Quality in Microfinance Institutions in Kenya. *European Journal of Economic and Financial Research* ISSN 2501
2. Mutuku S, **Wafula F.** & Agusioma N. (2021): Effect of Financial Technology Loans on Financial Inclusion among the Unbanked Low-Income Earners in Makueni County. *International Journal of Current Aspect in Finance, Banking and Accounting* Vol. 3No. 2(2021)
3. Owuor G, Agusioma N & **Wafula F.** (2021): Effect of Accounts Receivable Management on Financial Performance of Chartered Public Universities in Kenya. *International Journal of Current Aspect in Finance, Banking and Accounting* Vol. 3No. 1 (2021)
4. Mungai F. & **Wafula F.** (2021). Effect of Financial Risk Hedging Practices on Firm Value of Commercial and Service Firm Listed at Nairobi Security Exchange. *International Journal of Business Management*. Vol. 9, Issue 7, BM2107-042
5. Ng'osei C, & Wafula F, (2020): Effect of Financial Structure on Financial Performance of Oil Marketing Companies in Kenya. *International Academic Research Journal of Economics and Finance*. Vol. 3, Issue No. 4, PP=763=778
6. **Wafula F.** & Babisho M (2020). Relationship between Macroeconomic Factors and Economic growth in Kenya. *Journal of Economics and Finance (IOSR-JEF)* e-ISSN: 2321-5933, p-ISSN: 2321-5925. Volume 11, Issue 2.
7. **Wafula F** & Wauda D (2020). Investment Characteristics and Financial Performance of Investment Banks Licensed by Capital Markets Authority. *International Academic Journal of Economics and Finance*, 3(6)
8. Kibichii, A. K. & **Wafula, F.** (2020). Effect of microfinance products on the growth of small and medium enterprises in Nairobi County. *International Academic Journal of Economics and Finance*, 3(6), 152-167
9. **Wafula F. O** (2017). Idiosyncratic Volatility and Corporate External Financing Decision by Listed Companies in Kenya. *International Journal of Innovative Research and Development*. Vol. 6 Issue 1, pp 141-150
10. **Wafula F. O**, Muturi W. M, & Ngugi K. P (2017). Stock Turnover and Corporate External Financing Decision by Listed Companies in Kenya. *The International Journal of Business and Management*. Vol. 5 Issue 10, pp. 182-190

11. **Wafula F. O.** & Wang'ombe P. K, (2016). Capital adequacy and financial performance of deposit taking saving and credit cooperative societies in Kenya. *International Journal of Business and Management Vol. 4 Issue 9, pp 19-30*
12. **Wafula F. O.** (2015). An Empirical Test of Capital Asset Pricing Model: Evidence from Nairobi Securities Exchange 2008-2013. *International journal of Finance and Economics Vol. 4 issue 1, pp 17-37.*
13. **Wafula F. O,** Gachunga H, & Osiemo F, (2013). Effect of financial Deregulation on financial performance of banks listed at Nairobi Security Exchange (2000 - 2011). *International Journal of Social Science and Entrepreneurship Vol. 1 issue 2, pp 717-734.*
14. **Wafula F. O** & Ogwe S. L, (2014) Factors affecting management of public procurement procedures in government institutions: A Case of Nairobi City County. *The 9th Jkuat Scientific, Technological and Industrialization Conference and Exhibitions 2014.*

POST GRADUATE DISSERTATION SUPERVISION - GRADUATED

1. Muhindi S. & **Wafula F,** (2021): Influence Of Development Financial Institutions In Agricultural Development In Kenya MSc-Development Finance
2. Diang'a S, & **Wafula F,** (2021): Determinants of Financial Performance in Family Owned Businesses in Kenya Msc. Commerce
3. Owuor G, Agusioma N & **Wafula F.** (2021): Effect of Accounts Receivable Management on Financial Performance of Chartered Public Universities in Kenya.
4. Mutuku S, **Wafula F** & Agusioma N. (2021): Effect of Financial Technology Loans on Financial Inclusion Among the Unbanked Low-Income Earners in Makueni County
5. Ojanji C. & **Wafula F.** (2021): Effect of Impact Investing Products on Sustainable Livelihoods of Smallholder Maize Farmers in Busia.
6. Kinyua F. & **Wafula F.** (2021): Effect Of Liquidity Risk Determinants On Financial Performance Of Manufacturing Firms Listed At Nairobi Securities Exchange.
7. Ng'ososei C, & Wafula F, (2020): Effect of Financial Structure on Financial Performance of Oil Marketing Companies in Kenya
8. Mungai F. & **Wafula F.** (2020). Effect of Financial Risk Hedging Practices on Firm Value of Commercial and Service Firm Listed at Nairobi Security Exchange

9. Muia A., & Wafula F, (2020): Effect of Internal Controls on Budget Implementation Of Non-Governmental Organizations In Kenya.
10. Wauda D, **Wafula F.** (2020). Investment Characteristics and Financial Performance of Investment Banks Licensed by Capital Markets Authority.
11. Kibichii, A. K. & **Wafula, F.** (2020). Effect of microfinance products on the growth of small and medium enterprises in Nairobi County
12. Khatundi V & **Wafula F.** (2019): Public Debt Servicing and Economic Growth in Kenya.
13. Mwangi A. & **Wafula F,** (2019): Credit Management Practices and Asset Quality in Microfinance Institutions in Kenya.
14. Kipelion S, & Wafula F, (2019): Effect of Asset Restructuring on Financial Performance of Tier Three Commercial Banks in Kenya
15. Wanjiru M & **Wafula F,** (2018): Effect of Credit Management Practices on Asset Quality of Commercial Banks Listed at Nairobi Security Exchange.

POST GRADUATE SUPERVISION - ONGOING

1. Kwoba V, **Wafula F,** & Nyangilo V.: Investor Characteristics And Portfolio Performance Of Investment Management Firms In Kenya – **PhD. Finance** (Seminar 3-Data Collection Stage)
2. Origa S. & **Wafula F,** : Effect of Credit Management Practices on Financial Performance of Commercial Banks in Kenya (Publication Stage)
3. Oduk S, **Wafula F** & Bosire J: Firm Characteristics and Financial Performance of Registered REITS in Kenya - **Msc. Finance** (Data Collection Stage)
4. Koti B, & **Wafula F,;** Firm Specific Factors and Financial Performance Of Life Assurance Companies In Kenya – MSc. Finance (Data Collection Stage)
5. Kimtai E, & **Wafula F,** Perceived Determinants of Climate Financing in Kenya MSc-Development Finance (Proposal Stage)
6. Itibi P, & **Wafula F,** Relationship Between Financial Soundness And Stability Of Commercial Banks In Kenya **Msc. Finance** (Data Collection Stage)
7. Mwangi G, & **Wafula F,;** Effect Of Financial Innovation On Financial Performance Of Savings And Credit Cooperative Societies In Kenya **Msc. Commerce** (Data Collection Stage)

8. **Nyikuli R, & Wafula F,:** The Influence Of Internal Audit On Organizational Performance Of State Corporations In Kenya **Msc. Commerce** (Data Collection Stage)
9. **Mosii R, & Wafula F,:** Effects of Capital Rationing on Financial Performance of Insurance Companies In Kenya **MBA Finance** (Proposal Stage)

CONFERENCES AND SHORT TRAINING

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| 13th – 14th November 2014 | <i>The 9th Jkuat Scientific, Technological and Industrialization Conference and Exhibitions 2014.</i> |
| 15th – 30th June 2020 | <i>Training on online Course Preparation, Delivery and Assessment by Cooperative University of Kenya</i> |
| 13th – 14 July 2021 | <i>1ST Bomet University International Conference Theme: Towards A Sustainable Green Economy</i> |
| 6th 7th and 9th September 2021 | DIES Dialogue Event <i>Impact and Implication of the Coronavirus (COVID-19) Pandemic on Quality of Training, Learning, Research and Community Service in the East Africa Community (EAC) Partner States: Short, Medium- and Long-Term Strategies. Hosted by Bomet University College</i> |

ACADEMIC LEADERSHIP

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| 2018 | Member, Committee to Review Curriculum for BSc. Finance and Investment Multimedia University of Kenya. |
| 2019: | Member, committee to Review Curriculum for Bachelor of Commerce, Cooperative University of Kenya |
| 2021 | Dissertation External Examiner, Multimedia University of Kenya |
| 2021 | Member Post Graduate and Research Committee, School of Business and Entrepreneurship Bomet University College |
| 2021 | Coordinator Industrial Attachment and Field Trip School of Business and Entrepreneurship; Bomet University College. |

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| 2021 | Chairman Quality Assurance Committee School of Business and Entrepreneurship, Bomet University College |
| 2021 | Rapporteur DIES Dialogue Event held on 6 th , 7 th and 9 th September 2021 by Bomet University College |

PROFESSIONAL MEMBERSHIP

- Member Institute of Certified Investment and Financial Analyst
- Associate Member Kenya Institute of Management
- Associate Member Global Association of Risk Practitioners (GARP)

REFEREES

Dr. Argan Wekesa
Senior Lecturer and Director
IODEL,
Cooperative University of
Kenya,
School of Business,
P.O Box 24814
00502,Nairobi, Mobile:
0722836593

Dr. Peter Wang'ombe Kariuki
Senior Lecturer and Chair
Examination Department,
School of Business and
Economics
University of Embu,
P.O Box 6-60100,
Embu, Kenya.
Mobile: 0720325766.

Prof. Rosebella O. Maranga,
Deputy Vice Chancellor
(A.F&P),
Multimedia University of
Kenya,
P.O Box 15653-00100,
Nairobi.
Mobile: 0721 301 507

CERTIFICATION

I the undersigned certify that these data correctly describes me, my qualification and my experience.

Sign: Dr. Fredrick Olanga Wafula